



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

Complete if Known	
Application Number	09/976,322
Filing Date	10.12.2001
First Named Inventor	Pham, Duc et al.
Art Unit	2143
Examiner Name	England, David E.
Attorney Docket Number	AESN3000

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
D		US- 5453979	09-26-1995	Schibler et al.	
		US- 5566170	10.15.1996	Bakke et al.	
		US- 5754791	05.19.1998	Dahlgren et al.	
		US- 5850395	12.15.1998	Hauser et al.	
		US- 5872783	02.16.1999	Chin	
		US- 5905725	05.18.1999	Sindhu et al.	
		US- 5918074	06.29.1999	Wright et al.	
		US- 5931947	08.03.1999	Burns et al.	
		US- 5974463	10.26.1999	Warrier et al.	
		US- 6157649	12.05.2000	Peirce et al.	
		US- 6157955	12.05.2000	Narad et al.	
		US- 6160819	12.12.2000	Partridge et al.	
		US- 6253193	06.26.2001	Ginter et al.	
		US- 6259699	07-10-2001	Opalka et al.	
		US- 6260155	07-10-2001	Dellacona	
		US- 6263445	07-17-2001	Blumenau	
D		US- 6266705	07-24-2001	Ullum et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

Examiner Signature

David England

Date Considered

3/6/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

116

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/976,322
		Filing Date	10.12.2001
		First Named Inventor	Pham, Duc et al.
		Art Unit	2143
		Examiner Name	England, David E.
Sheet	2	of	2
		Attorney Docket Number	AESN3000

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DC		BROADCOM, Broadcom BCM5820 E-Commerce Processor Product Brief, 2001	
		BROADCOM, Broadcom BCM5840 Gigabit Security Processor Product Brief, 2000	
		IBM, IBM Network Processor (IBM32NPR161EPXCAC133) Product Overview, 11.4.1999	
		IBM, The Network Processor, Enabling Technology for High-Performance Networking, 1999	
		IBM, IBM PowerNP NP4GS3 Network Processor Solutions, Product Overview, 4.16.2001	
		IBM, IBM Packet Routing Switch PRS28G Overview, 1999-2000	
		IBM, IBM Packet Routing Switch PRS28.4G Version 1.7 Datasheet, 2.6.2001	
DC		IBM, IBM PowerNP NP4GS3 Network Processor Datasheet, 5.18.2001	

Examiner Signature	<i>David England</i>	Date Considered	3/6/2006
--------------------	----------------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.